SOUTHEASTERN PENNSYLVANIA TRANSPORTATION AUTHORITY

AGENDA

REGULAR MEETING

To Be Held at 3:00 PM

MARCH 28, 2013

1234 Market Street, Mezzanine Level

Philadelphia, PA

1. Approval of Minutes of the Regular Board Meeting of February 28, 2013

2. Financial Report

3. Resolutions

I. Pension Committee Review

A. Termination of Investment Management Agreement with Turner Investment, L.P.

II. Administration Committee Review

A. Authorization for General Manager to Designate Roles within the Commonwealth of Pennsylvania’s Department of Transportation dotGrant System

B. Consultant Amendment

III. Operations Committee Review

A. Various Procurements

B. Sole Source Procurements

C. Change Order

4. Report of General Manager
RESOLUTION

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TERMINATION OF INVESTMENT MANAGEMENT AGREEMENT
WITH TURNER INVESTMENT, L.P.

WHEREAS, on February 24, 2011 the Pension Committee of the Board revised the Statement of Investment Policy and Objectives and Operating Guidelines ("Policy Statement") which governs the investment of the funds of the pension plans of SEPTA; and

WHEREAS, the Policy Statement provides investment guidelines and investment return expectations for all investment managers; and

WHEREAS, the Policy Statement contains monitoring procedures for the Pension Committee to utilize in its review of the performance of each investment manager; and

WHEREAS, at the Pension Committee meeting that was held on February 28, 2013, SEPTA’s pension consultant, PFM Advisors, reviewed with the Pension Committee the performance results of Turner Investment, L.P. ("Turner"); and

WHEREAS, based on this review and discussion, PFM Advisors recommended and the Pension Committee agreed to terminate the
investment management agreement with Turner for its mid-cap
growth domestic equity product.

NOW, THEREFORE, BE IT RESOLVED, that the Board hereby
authorizes the proper officers of SEPTA to (i) terminate the
investment management agreement with Turner Investment, L.P.;
(ii) take such action necessary to transfer the assets currently
being managed by Turner Investments to fund other investments as
approved by the Pension Committee; and (iii) to do any and all
other things that will be necessary in order to effectuate this
Resolution.
RESOLUTION

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AUTHORIZATION FOR GENERAL MANAGER
TO DESIGNATE ROLES WITHIN THE
COMMONWEALTH OF PENNSYLVANIA’S
DEPARTMENT OF TRANSPORTATION DOTGRANT SYSTEM

WHEREAS, pursuant to guidelines issued in 2008 by the Department of Transportation of the Commonwealth of Pennsylvania ("PennDOT"), all Commonwealth transit agencies were required to complete and submit an Electronic Access Licensing Agreement ("EALA") in order to obtain access to the "dotGrant" System; and

WHEREAS, by authorization of the Board on May 26, 2011, the General Manager was designated as the Authorized Official ("AO") of SEPTA and delegated the General Manager (as the AO) with the authority to file and electronically execute, on behalf of the Board, the consolidated operating application ("COA") with PennDOT via the "dotGrant" System; and

WHEREAS, by said resolution, the Board further approved a list of management positions authorized to make and modify submissions to PennDOT via the "dotGrant" System; and
WHEREAS, the Board recognizes that the assignment of "dotGrant" roles through SEPTA's designated AO is an important element of compliance with the EALA for the control of access to, and security of, the "dotGrant" System.

NOW, THEREFORE, BE IT RESOLVED, that pursuant to recent requirements PennDOT issued and imposed upon SEPTA, the Board hereby reaffirms that the General Manager is the Authorized Official of SEPTA, and as such, authorizes the General Manager, or his/her designee, to assign all roles within the "dotGrant" System.

FURTHER RESOLVED, that the Board hereby authorizes the Authorized Official, and his/her designee, to execute and deliver all documents, in written or electronic form, and in form approved by the Office of General Counsel, and to do any and all other things as shall be necessary and proper in order to effectuate the purpose of this Resolution.
RESOLUTION

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AUTHORIZATION TO EXECUTE A CONSULTANT AMENDMENT

WHEREAS, additional work is required to complete the projects identified below; and

WHEREAS, staff reviewed the additional work and the General Manager recommended that the Board authorize SEPTA to enter into the amendment for the additional work.

NOW, THEREFORE, BE IT RESOLVED, that the Board hereby authorizes SEPTA to award and the General Manager or his designee to execute the consultant amendment identified below in form approved by the Office of General Counsel, subject to the concurrence of the funding agencies, if required, and to any applicable Disadvantaged Business Enterprise requirements:

1. To PFM Asset Management, LLC, for Amendment No. 1, which provides for the exercise of two (2) one-year options for the continuation of consulting services for SEPTA’s Defined Benefit Pension Plans and Deferred Compensation (457B) Plan, thereby establishing a new contract completion date of June 30,
2015, at a combined increase in cost not to exceed $316,250, bringing the total contract price, including this amendment, to an amount not to exceed $782,750.
RESOLUTION
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AUTHORIZATION TO AWARD CONTRACTS FOR VARIOUS PROCUREMENTS

WHEREAS, SEPTA advertised and invited bids for the supplies identified below; and

WHEREAS, the firms listed below were the lowest responsive and responsible bidders to the invitation for bids; and

WHEREAS, staff requested that the General Manager recommend that the Board authorize SEPTA to award the contracts identified below; and

WHEREAS, the General Manager made the recommendation to the Board.

NOW, THEREFORE, BE IT RESOLVED, that the Board hereby authorizes SEPTA to award and for the General Manager or his designee to execute the contracts identified below in form approved by the Office of General Counsel, subject to and contingent upon the concurrence of the funding agencies, if required, and contingent upon and subject to the vendors satisfactorily meeting all requirements of the bid terms and
specifications, including full compliance with any applicable Disadvantaged Business Enterprise requirements:

1. To CSTK East, LLC, for the purchase of various parts to be used in the repair and maintenance of Thermo King cooling systems on SEPTA's fleet of buses, with delivery of material scheduled on an "as required" basis over a period of two years commencing in April 2013, and with authorization to SEPTA staff to extend the contract for a six (6) month period at no increase in cost, as described in the staff summary on this subject, for a total contract amount not to exceed $625,000, Sealed Rebid No. 12-112-CVS - Thermo King Parts.

2. To Murphy Quigley Company, for general construction services, for a total contract amount not to exceed $227,000; to Hyde Electric Corporation, for electrical construction services, for a total contract amount not to exceed $60,000; and to John J. Bee, Inc., for mechanical construction services, for a total contract amount not to exceed $112,903, for the SEPTA - Market East Station West End Restroom Renovations Project, to be performed over a period of 190 calendar days after issuance of Notice-to-Proceed, as described in the staff summary on this
subject, Sealed Bid No. 12-125-JHC - Market East West End Restroom Renovations.

3. To Smith Construction, Inc., for general construction services, for a total contract amount not to exceed $3,653,000; to Hyde Electric Corporation, for electrical construction services, for a total contract amount not to exceed $609,000; and to John J. Bee, Inc., for mechanical construction services, for a total contract amount not to exceed $219,478, for the SEPTA - Race-Vine ADA Improvements Project, to be performed over a period of 540 calendar days after issuance of Notice-to-Proceed, as described in the staff summary on this subject, Sealed Bid No. 12-159-JHC - Race-Vine ADA Improvements.
RESOLUTION

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AWARD OF VARIOUS CONTRACTS FOR SOLE SOURCE PROCUREMENTS

WHEREAS, SEPTA has need for the supplies and services described below and those supplies and services are available only from the firms listed below; and

WHEREAS, staff reviewed the cost of the supplies and services and the General Manager recommended that the Board authorize SEPTA to award the contracts.

NOW, THEREFORE, BE IT RESOLVED, that the Board hereby authorizes SEPTA to award and the General Manager or his designee to execute the contracts identified below, all in form approved by the Office of General Counsel, subject to the concurrence of the funding agencies, if required, and contingent upon and subject to each vendor/contractor satisfactorily providing any required bonds, insurance certificates and/or other documents, and complying with any applicable Disadvantaged Business Enterprise requirements:

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1. To General Fire Equipment Co., Inc., for the refurbishing, recharging and hydro-testing of 280 fire suppression cylinders for the engine compartments of New Flyer buses during the upcoming vehicle overhaul (VOH) program, at a unit price of $545.17, with services scheduled to be performed over a period of two years commencing in May 2013, as described in the staff summary on this subject, for a total contract amount not to exceed $152,647.60.

2. To Wabtec Passenger Transit, Westinghouse Air Brake Technologies Corporation, for the purchase of 50 air compressor conversion kits to be used in the process of converting the DC compressor motor to AC operation on the Silverliner IV Fleet, at a unit price of $6,795.40, with delivery of material scheduled for 25 units in August 2013 and 25 units in June 2014, as described in the staff summary on this subject, for a total contract amount not to exceed $339,770.

3. To Alstom Signaling Inc., for the overhaul of 22 C/S Automatic Train Control (ATC) control boxes used on the M-4 car fleet, at a unit price of $10,335, with services scheduled to be performed over a period of one year commencing in April 2013, as
described in the staff summary on this subject, for a total contract amount not to exceed $227,370.

4. To Westcode, Incorporated, for the purchase of various replacement parts used for the leveling valve and tread brake systems for the Silverliner IV and V, M-4 and LRV car fleets, as well as the HVAC components for the Silverliner V car fleet, with parts to be ordered on an "as required" basis over a period of three years commencing in April 2013, and with authorization to SEPTA staff to extend the contract for a six (6) month period at no increase in cost, as described in the staff summary on this subject, for a total contract amount not to exceed $545,500.

5. To American Railway Technologies, Inc., for the preventative maintenance and calibration of the diagnostic system equipment at the 69th Street Motor Shop, with services to be performed over a period of three years scheduled to commence in April 2013, as described in the staff summary on this subject, for a total contract amount not to exceed $54,834.

6. To Bradken Inc., for the purchase of 370 journal bearing housings to replace the current housings on the M-4 car fleet, at a unit price of $1,187, with delivery of material
scheduled to commence in April 2013, as described in the staff summary on this subject, for a total contract amount not to exceed $439,190.
RESOLUTION

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AUTHORIZATION TO EXECUTE A CHANGE ORDER

WHEREAS, additional work is required to complete the projects identified below; and

WHEREAS, staff reviewed the cost of the additional work and the General Manager recommended that the Board authorize SEPTA to order the additional work.

NOW, THEREFORE, BE IT RESOLVED, that the Board hereby authorizes SEPTA to award and the General Manager or his designee to execute the change order identified below in form approved by the Office of General Counsel, subject to the concurrence of the funding agencies, if required, and to any applicable Disadvantaged Business Enterprise requirements:

1. To Neshaminy Constructors, Inc., for Change Order No. 4, which provides for additional general construction work and credits on the SEPTA - Wayne Junction Station Improvements Project, at a net increase in cost not to exceed $197,462, bringing the total contract price, including all change orders
to date, to an amount not to exceed $15,878,076, provided that with the Board’s approval of this change order, the Board authorizes the resetting of prior authorized cumulative change order amounts to zero dollars ($0) and the establishment of a new cumulative change order threshold of 10% or $500,000, pursuant to the Resolution adopted by the Board on May 22, 2008, which Resolution authorized a "Delegation of Authority for Change Orders, Amendments and Assignments."